

# REQUEST FOR JUDICIAL INTERVENTION

UCS-840 (3/2011)

COURT, COUNTY OF \_\_\_\_\_

Index No: \_\_\_\_\_ Date Index Issued: \_\_\_\_/\_\_\_\_/\_\_\_\_

**CAPTION:** Enter the complete case caption. Do not use et al or et ano. If more space is required, attach a caption rider sheet.

Plaintiff(s)/Petitioner(s)

-against-

Defendant(s)/Respondent(s)

For Court Clerk Use Only:
IAS Entry Date
Judge Assigned
RJI Date

**NATURE OF ACTION OR PROCEEDING:** Check ONE box only and specify where indicated.

<p><b>MATRIMONIAL:</b></p> <p><input type="checkbox"/> Contested</p> <p><input type="checkbox"/> Uncontested</p> <p><b>NOTE:</b> For all Matrimonial actions where the parties have children under the age of 18, complete and attach the <b>MATRIMONIAL RJI Addendum</b>.</p> <p><b>TORTS</b></p> <p><input type="checkbox"/> Asbestos</p> <p><input type="checkbox"/> Breast Implant</p> <p><input type="checkbox"/> Environmental: _____ (specify)</p> <p><input type="checkbox"/> Medical, Dental, or Podiatric Malpractice</p> <p><input type="checkbox"/> Motor Vehicle</p> <p><input type="checkbox"/> Products Liability: _____ (specify)</p> <p><input type="checkbox"/> Other Negligence: _____ (specify)</p> <p><input type="checkbox"/> Other Professional Malpractice: _____ (specify)</p> <p><input type="checkbox"/> Other Tort: _____ (specify)</p> <p><b>OTHER MATTERS</b></p> <p><input type="checkbox"/> Certificate of Incorporation/Dissolution [see <b>NOTE</b> under Commercial]</p> <p><input type="checkbox"/> Emergency Medical Treatment</p> <p><input type="checkbox"/> Habeas Corpus</p> <p><input type="checkbox"/> Local Court Appeal</p> <p><input type="checkbox"/> Mechanic's Lien</p> <p><input type="checkbox"/> Name Change</p> <p><input type="checkbox"/> Pistol Permit Revocation Hearing</p> <p><input type="checkbox"/> Sale or Finance of Religious/Not-for-Profit Property</p> <p><input type="checkbox"/> Other: _____ (specify)</p>	<p><b>COMMERCIAL</b></p> <p><input type="checkbox"/> Business Entity (including corporations, partnerships, LLCs, etc.)</p> <p><input type="checkbox"/> Contract</p> <p><input type="checkbox"/> Insurance (where Insurer is a party, except arbitration)</p> <p><input type="checkbox"/> UCC (including sales, negotiable instruments)</p> <p><input type="checkbox"/> Other Commercial: _____ (specify)</p> <p><b>NOTE:</b> For Commercial Division assignment requests [22 NYCRR § 202.70(d)], complete and attach the <b>COMMERCIAL DIV RJI Addendum</b>.</p> <p><b>REAL PROPERTY:</b> How many properties does the application include? _____</p> <p><input type="checkbox"/> Condemnation</p> <p><input type="checkbox"/> Foreclosure</p> <p>Property Address: _____</p> <p><b>NOTE:</b> For Foreclosure actions involving a one- to four-family, owner-occupied, residential property, or an owner-occupied condominium, complete and attach the <b>FORECLOSURE RJI Addendum</b>.</p> <p><input type="checkbox"/> Tax Certiorari - Section: _____ Block: _____ Lot: _____</p> <p><input type="checkbox"/> Other Real Property: _____ (specify)</p> <p><b>SPECIAL PROCEEDINGS</b></p> <p><input type="checkbox"/> CPLR Article 75 (Arbitration) [see <b>NOTE</b> under Commercial]</p> <p><input type="checkbox"/> CPLR Article 78 (Body or Officer)</p> <p><input type="checkbox"/> Election Law</p> <p><input type="checkbox"/> MHL Article 9.60 (Kendra's Law)</p> <p><input type="checkbox"/> MHL Article 10 (Sex Offender Confinement-Initial)</p> <p><input type="checkbox"/> MHL Article 10 (Sex Offender Confinement-Review)</p> <p><input type="checkbox"/> MHL Article 81 (Guardianship)</p> <p><input type="checkbox"/> Other Mental Hygiene: _____ (specify)</p> <p><input type="checkbox"/> Other Special Proceeding: _____ (specify)</p>
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**STATUS OF ACTION OR PROCEEDING:** Answer YES or NO for EVERY question AND enter additional information where indicated.

Has a summons and complaint or summons w/notice been filed?	YES	NO	
	<input type="checkbox"/>	<input type="checkbox"/>	If yes, date filed: ____/____/____
Is this action/proceeding being filed post-judgment?	<input type="checkbox"/>	<input type="checkbox"/>	If yes, judgment date: ____/____/____

**NATURE OF JUDICIAL INTERVENTION:**

Check ONE box only AND enter additional information where indicated.

- Infant's Compromise
- Note of Issue and/or Certificate of Readiness
- Notice of Medical, Dental, or Podiatric Malpractice      Date Issue Joined: \_\_\_\_/\_\_\_\_/\_\_\_\_
- Notice of Motion      Relief Sought: \_\_\_\_\_      Return Date: \_\_\_\_/\_\_\_\_/\_\_\_\_
- Notice of Petition      Relief Sought: \_\_\_\_\_      Return Date: \_\_\_\_/\_\_\_\_/\_\_\_\_
- Order to Show Cause      Relief Sought: \_\_\_\_\_      Return Date: \_\_\_\_/\_\_\_\_/\_\_\_\_
- Other Ex Parte Application      Relief Sought: \_\_\_\_\_
- Poor Person Application
- Request for Preliminary Conference
- Residential Mortgage Foreclosure Settlement Conference
- Writ of Habeas Corpus
- Other (specify): \_\_\_\_\_

**RELATED CASES:**

List any related actions. For Matrimonial actions, include any related criminal and/or Family Court cases. If additional space is required, complete and attach the RJJ Addendum. If none, leave blank.

Case Title	Index/Case No.	Court	Judge (if assigned)	Relationship to Instant Case

**PARTIES:**

If additional space is required, complete and attach the RJJ Addendum.

For parties without an attorney, check "Un-Rep" box AND enter party address, phone number and e-mail address in "Attorneys" space.

Un-Rep	Parties: List parties in caption order and indicate party role(s) (e.g. defendant; 3rd-party plaintiff).	Attorneys: Provide name, firm name, business address, phone number and e-mail address of all attorneys that have appeared in the case.	Issue Joined (Y/N):	Insurance Carrier(s):
<input type="checkbox"/>	Name:  Role(s):		<input type="checkbox"/> YES  <input type="checkbox"/> NO	
<input type="checkbox"/>	Name:  Role(s):		<input type="checkbox"/> YES  <input type="checkbox"/> NO	
<input type="checkbox"/>	Name:  Role(s):		<input type="checkbox"/> YES  <input type="checkbox"/> NO	
<input type="checkbox"/>	Name:  Role(s):		<input type="checkbox"/> YES  <input type="checkbox"/> NO	
<input type="checkbox"/>	Name:  Role(s):		<input type="checkbox"/> YES  <input type="checkbox"/> NO	

**I AFFIRM UNDER THE PENALTY OF PERJURY THAT, TO MY KNOWLEDGE, OTHER THAN AS NOTED ABOVE, THERE ARE AND HAVE BEEN NO RELATED ACTIONS OR PROCEEDINGS, NOR HAS A REQUEST FOR JUDICIAL INTERVENTION PREVIOUSLY BEEN FILED IN THIS ACTION OR PROCEEDING.**

Dated: \_\_\_\_/\_\_\_\_/\_\_\_\_

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
ATTORNEY REGISTRATION NUMBER

\_\_\_\_\_  
PRINT OR TYPE NAME

**SUPREME COURT OF THE STATE OF NEW YORK**

COUNTY OF \_\_\_\_\_ x

Index No. \_\_\_\_\_

RJI No. (if any) \_\_\_\_\_

-against-

Plaintiff(s)/Petitioner(s)

Defendant(s)/Respondent(s)

**COMMERCIAL DIVISION  
Request for Judicial Intervention Addendum**

**COMPLETE WHERE APPLICABLE** [add additional pages if needed]:

**Plaintiff/Petitioner's cause(s) of action** [check all that apply]:

- Breach of contract or fiduciary duty, fraud, misrepresentation, business tort (e.g. unfair competition), or statutory and/or common law violation where the breach or violation is alleged to arise out of business dealings (e.g. sales of assets or securities; corporate restructuring; partnership, shareholder, joint venture, and other business agreements; trade secrets; restrictive covenants; and employment agreements not including claims that principally involve alleged discriminatory practices)
- Transactions governed by the Uniform Commercial Code (exclusive of those concerning individual cooperative or condominium units)
- Transactions involving commercial real property, including Yellowstone injunctions and excluding actions for the payment of rent only
- Shareholder derivative actions — without consideration of the monetary threshold
- Commercial class actions — without consideration of the monetary threshold
- Business transactions involving or arising out of dealings with commercial banks and other financial institutions
- Internal affairs of business organizations
- Malpractice by accountants or actuaries, and legal malpractice arising out of representation in commercial matters
- Environmental insurance coverage
- Commercial insurance coverage (e.g. directors and officers, errors and omissions, and business interruption coverage)
- Dissolution of corporations, partnerships, limited liability companies, limited liability partnerships and joint ventures — without consideration of the monetary threshold
- Applications to stay or compel arbitration and affirm or disaffirm arbitration awards and related injunctive relief pursuant to CPLR Article 75 involving any of the foregoing enumerated commercial issues — without consideration of the monetary threshold

**Plaintiff/Petitioner's claim for compensatory damages** [exclusive of punitive damages, interest, costs and counsel fees claimed]:

\$ \_\_\_\_\_

**Plaintiff/Petitioner's claim for equitable or declaratory relief** [brief description]:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Defendant/Respondent's counterclaim(s)** [brief description, including claim for monetary relief]:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**I REQUEST THAT THIS CASE BE ASSIGNED TO THE COMMERCIAL DIVISION. I CERTIFY THAT THE CASE MEETS THE JURISDICTIONAL REQUIREMENTS OF THE COMMERCIAL DIVISION SET FORTH IN 22 NYCRR § 202.70(a), (b) AND (c).**

Dated: \_\_\_\_/\_\_\_\_/\_\_\_\_

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
PRINT OR TYPE NAME